



S/N 10/070,288

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NAKANISHI et al. Examiner: Agustin
Serial No.: 10/070,288 Group Art Unit: 2627
Filed: February 28, 2002 Docket No.: 10873.0887USWO
Title: OPTICAL ELEMENT, SEMICONDUCTOR DEVICE, AND OPTICAL
INFORMATION RECORDING DEVICE EMPLOYING THE SAME

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 4, 2006.

By: 

Name: Antonette Peters

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

(37 C.F.R. § 1.97(c))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in a Korean Office Action mailed July 11, 2006.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. § 1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. § 1.97(e)(1)

In accordance with 37 C.F.R. § 1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from a foreign

patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

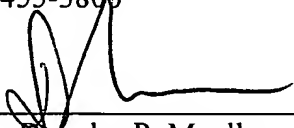
Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.




Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &
LARSON, P.C.
P.O. Box 2902
Minneapolis, MN 55402-0902
(612) 455-3800

Dated: August 4, 2006

By: 
Douglas P. Mueller
Reg. No. 30,300
DPM/acp

FORM 1449* INFORMATION DISCLOSURE STATEMENT 	IN AN APPLICATION (Use several sheets if necessary)	
	Docket Number: 10873.0887USWO	Application Number: 10/070288
	Applicant: NAKANISHI et al.	
Filing Date: February 28, 2002		Group Art Unit 2651

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	JP 2000-187872	07/2000	Japan			Abstract	
	JP 5-290401	11/1993	Japan			Abstract	
	JP 8-240718	09/1996	Japan			Abstract	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

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EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	



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SFW

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NAKANISHI et al. Examiner: AGUSTIN
Serial No.: 10/070,288 Group Art: 2652
Filed: February 28, 2002 Docket: 10873.0887USWO
Confirmation No.: 4606
Title: OPTICAL ELEMENT, SEMICONDUCTOR DEVICE, AND OPTICAL
INFORMATION RECORDING DEVICE EMPLOYING THE SAME

CERTIFICATE UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on August 4, 2006.

By:

Name: Antonette Peters

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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PATENT TRADEMARK OFFICE

Commissioner:

The following papers are transmitted herewith:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 3 references, copy of Korean Office Action
- ☒ Return Postcard

Please charge any additional fees or credit overpayment to Deposit Account No. **50-3478**. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.
P.O. Box 2902 Minneapolis, MN 55402-0902
612.455-3800

By:

Name: Douglas P. Mueller
Reg. No.: 30,300
Initials: DPM/acp